# Intra-operative Monocular 3D Reconstruction for Image-Guided Navigation in Active Locomotion Capsule Endoscopy

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*Abstract*— Wireless capsule endoscopy (WCE) can be considered an example of 'disruptive technology' since it represents a bright alternative to traditional diagnostic methodologies. However, currently available clinical products are passive devices whose locomotion is driven by natural peristalsis, with the drawback of failing to capture the images of potential pathological gastrointestinal tract regions, due to the impossibility to control the camera's motion and orientation. As a consequence, many research groups are working to develop active locomotion devices and endoscopic platforms that allow WCE to be performed in a totally controlled manner.

In this paper we propose a system to reliably calibrate a Shape-from-Shading system to recover the unknown scale factor immediately prior to a WCE procedure. The system would allow to reconstruct in a metrically accurate fashion the surfaces obtained intraoperatively from the WCE capsule, which can be exploited for accurate trajectory planning and ultimately automatic WCE active capsule navigation. To the best of our knowledge, this is the first practical system for Shape-from-Shading calibration aimed at guidance applications that will finally be applied to an endoscopic active locomotion platform developed by the authors.

# I. INTRODUCTION

Wireless Capsule Endoscopy (WCE) has the potential to dramatically reduce invasiveness and pain of traditional gastrointestinal (GI) diagnostic and surgical procedures, paving the way to mass screening of the GI tract [1]. WCE potentially enables inspection of the GI tract without patient discomfort or need for sedation, and thus obviates the risks associated with traditional endoscopy [2]. As a first-generation 'disruptive technology', WCE still presents a number of limitations, e.g., the inability to control locomotion and camera orientation. As demonstrated by recent comparative studies [3], these open issues make traditional endoscopic techniques still superior to WCE.

Different approaches have been proposed for controlled locomotion but currently the most promising solution seems to be magnetic steering, under investigation by Olympus Inc. (Tokyo, Japan) and Siemens Healthcare (Erlangen, Germany) [4] as well as by the authors in [5], [6]. While promising advances have been made to control precise capsule locomotion, progress is still necessary in terms of navigation systems to close the control loop and enable automatic or semi-automatic navigation of endoscopic capsules, with the potential for greatly reduced procedural time and greater clarity of images.

Traditional methods for vision-based 3D reconstruction employed in minimally invasive surgery require the use of stereo cameras [7]-[10] which, while present on modern stereo laparoscopes and robotic platforms, are not found in WCE systems due to physical constraints. Monocular 3D reconstruction techniques such as MonoSLAM [11], [12] rely on completely static target structures, visual features that can be efficiently tracked, and a fast frame rate generating an abundance of viewpoints that can be used for structure recovery; a set of requirements that is not suitable for a typical WCE setting. Another vision-based guidance method that has seen early applications to endoscopy and minimally invasive surgery is Shape-from-Shading (SFS) [13]-[16], where the 3D structure of the visualized scene is reconstructed from a single monocular camera without any further information required. While SFS algorithms namely only require the target surface to be made of a material with uniform surface reflectance properties - which is the setting of GI applications - there have been no clinical applications of the algorithms without the requirement of detailed pre-operative scan data. This is due to the fact that surfaces reconstructed with SFS systems are not localized within a metric space; rather, they are linearly scaled with an unknown factor related to the surface albedo. Because of this inability to correctly recover metric distances, vision-based systems relying exclusively on guidance from SFS modules have so far not found any practical applications.

In this paper, the authors propose a complete calibration procedure to recover the surface albedo prior to the endoscopic procedure, followed by a system for SFS-based intra-operative guidance. Given the tissue uniformity of GI environments, the recovered albedo can in turn be used for intra-operative metric 3D reconstruction from SFS, accurate trajectory planning and ultimately automatic capsule navigation. The proposed system is ideally suited to platforms with self-steering capabilities [5] but it is also applicable to general capsule platforms. More specifically, even with traditional passive locomotion capsules, the 3D surfaces obtained can still be used for accurate anatomy reconstruction and augmented reality. To the best of our knowledge, this is the first practical system for SFS calibration for WCE guidance purposes.

# II. BACKGROUND

### A. Active locomotion capsule endoscopy

Active locomotion is mandatory for steering and navigation control of capsule devices, in order to provided a reliable diagnosis and minor therapeutic procedures *in situ*. In contrast, commercially available passive capsules only allow a random visual sampling of the GI tract and no control and interventional capabilities are provided.

There are mainly two strategies for active locomotion in WCE: 1) embedding miniaturized actuators on board; 2) exploiting external actuation systems, namely magnetic fields. With regards to the first method, different mechanisms have been developed by exploiting paddles and linear actuators [17], or motorized legs [18]-[22]. Although internal locomotion would grant significant advantages, such as the potential to locally manipulate tissue away from the camera, a critical drawback is that it is power intensive, thus making long operation times unfeasible. As an alternative, external locomotion approaches based on magnetic fields currently represent the most promising solution for WCE. A magnetic steering technology for gastric examination based on electromagnets was designed by Olympus Inc. and Siemens Healthcare. The system includes an Olympus capsule endoscope and a Siemens guidance system equipped with magnetic resonance imaging and computed tomography facilities [4]. A permanent magnet approach was investigated by Given Imaging Inc. (Yoqneam, Israel): a wireless PillCam colon-based capsule endoscope was modified to include neodymium-iron-boron magnets and was manipulated through a handheld external magnet in the oesophagus and stomach [23]. Another example of robotic magnetic WCE control was proposed by Carpi et al., who used the Niobe navigation system for an accurate steering of a magnetically modified PillCam video capsule [24].

A still open issue in capsule endoscopy is the leak of a reliable localization strategy compatible with magnetic locomotion for providing position and orientation feedback for a self-autonomous steering. The use of an image-based strategy would represent a promising solution for intraoperative automated guidance.

# B. Shape-from-Shading 3D reconstruction

Surface reconstruction with SFS is achieved through an inversion of the image irradiance equation. Essentially, given an *a priori* reflectance model describing the physical formation process behind the captured image, its mathematical representation is inverted in order to recover dense surface distance and normal information.

Common to all modern practical SFS systems is a perspective camera model and a Lambertian reflectance model assumption, while the light source has been assumed to be, in turn, at infinity [14], or close to the surface either at the optical center [25] or away from the visual axis [16], [26]. For the SFS problem to be well-posed, it was proven that the light source has to be modeled close to the target surface [25], [16], [26] in order to take into account the light attenuation term proportional to the distance between the light source and the surface.

However, the reconstructed surfaces do not lie within a metric space, but they are linearly scaled by an unknown factor based on the surface reflectance properties - the surface albedo. Therefore only shape, not distance, information is available. This is the case for the systems in [14] and [25], for which no practical navigation application is possible. Other systems proposed in [15] and [16] use shape information for intra-operative navigation. However, they recover the scale through iterative closest point registration with rigid preoperative data, which would be unfeasible for the deformable GI tract environment.

Another SFS-based approach was proposed for WCE procedures [27]. However, it has to be emphasized how the proposed approach does not attempt to recover metric distance, rather it is a visualization tool to aid the diagnostic procedure. Moreover, the SFS technique adopted is based on the Tsai-Shah method which models the capture device as an orthographic camera with an infinitely distant light source, making the overall system mathematically ill-posed. This can result in an ambiguous interpretation of concave/convex surfaces and, ultimately, incorrect visualization.

A more recent SFS technique attempts to recover the surface albedo to obtain metric distance by exploiting geometric properties of surface specularities [26]. However, this requires specularities to be present in the image and relies on the presence of a method for online robust separation of specular and diffuse components, which can be difficult under highly focused illumination.

It is therefore crucial for a SFS-based navigation system without pre-operative scan data to recover the missing albedo needed for metric reconstructions. Here we propose a platform-independent practical calibration system that can be used for this purpose as a first step towards automatic WCE capsule navigation. We also show how the recovered albedo can be used to metrically scale intra-operative reconstructions robustly through a cross-validation procedure.

## **III. SYSTEM WORKFLOW**

The proposed calibration system requires the camera that will be used during the WCE procedure together with a way of reliably controlling the camera position.

To demonstrate our calibration concept, we used a standard STORZ laparoscope model together with a synthetic colon model kept at a stable 2mmHg insufflation level by an external endoscopic insufflator (Surgiflator-40, Word of Medicine AG, Germany), but the same procedure could be implemented with similar equipment or *in-vivo* with relatively few modifications.

The laparoscopic camera was first calibrated to characterize its radiometric response and internal parameters. The laparoscope was then inserted in the colon for a total length of 100mm, with images captured at insertion intervals of 5mm. The complete experimental setup is shown in Fig. 1 and precise insertion steps have been addressed through

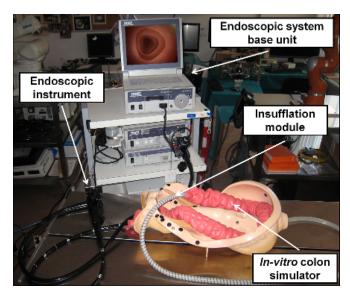


Fig. 1: Experimental *in-vitro* test bench for the calibration procedure.

a high resolution measuring scale fixed on the endoscope. The images were then pre-processed to remove deviations from the assumed Lambertian reflectance model and fed to our SFS algorithm for surface reconstruction. Finally, visual features were matched between consecutive frames.

To recover the unknown surface albedo, we considered the ratio between the difference of the reconstructed depth for matching features and the known 5mm displacement used during calibration. A distribution of the ratios recovered for all matching features was created for each consecutive frame pair. Given uniform surface characteristics throughout the GI tract, the calculated distributions should all indicate a single scale factor that can then be calculated during calibration, stored and used for metric 3D reconstruction and capsule trajectory planning during WCE procedures in the same subject without the need for controlled movement.

The stages of the calibration algorithm will be discussed in details in the following sections and consist of camera geometric and radiometric calibration, image pre-processing, SFS 3D reconstruction, and feature matching with scale factor recovery. During intra-operative guidance using the albedo recovered during calibration, the pipeline consists of image pre-processing, SFS 3D reconstruction and scaling with the calculated albedo, and trajectory planning. Results on the effectiveness and accuracy of the proposed method will be presented in section IV.

In this framework the capsule would be reliably steered in according to the calculated trajectory by means of a roboticassisted magnetic propulsion [6] and controlled exploiting a highly precise localization, such as the one proposed by the author in [28], making the strategy assumptions not so far from a real scenario.

#### A. Camera calibration

The endoscopic camera was calibrated prior to insertion with a standard checkerboard in order to determine its

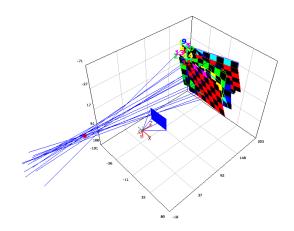


Fig. 2: Light source position calibration. The position of the light source is accurately determined with the method by [29] to better characterize the SFS setting.

intrinsic parameters. To further characterize the endoscopic camera-light source setup, a reflective plastic film was overlaid to the checkerboard in order to provide specular highlights that allowed to calculate the exact spatial location of the light source with respect to the camera according to the method presented in [29]. The measured translation of the light source with respect to the camera center was of (x, y, z) = (3.5, 2.2, 5.0)mm. An example of the output from the light calibration phase is shown in Fig. 2.

Given a surface reflectance model mathematically defining the image irradiance, every SFS algorithm assumes a linear relationship between this irradiance and the captured brightness. However, due to automatic camera post-processing and specific charge-coupled device element sensitivities, this is seldom the case.

Therefore, a radiometric calibration was carried out to determine the camera radiometric response function and invert it in order to linearize the relationship between incoming image irradiance and the perceived brightness output. This was done with images of a Macbeth color chart illuminated by the endoscope light source. The exposure time was fixed throughout calibration and insertion, while all automatic color balancing processes were deactivated. Vignetting was minimized by the use of a uniform ambient light together with the scope light source. Among all families of functions tried, gamma functions were found to be the best fitting in the Least-Square sense. The functions for the three color channels were then inverted to determine the radiometric response. Given this information, it is then possible to linearize the brightness of incoming frames on-the-fly. Exponential functions describing per-channel camera radiometric response are  $1.6x^{0.8758}$ ,  $29.16x^{0.3615}$  and  $16.52x^{0.4424}$ , respectively for the red, green and blue channel.

#### B. Image processing

Endoscopic images can present specular highlights, defined as bright spots of light appearing on shiny object when illuminated. This is due to the highly focused bright lighting interacting with water and mucosal tissue. Even though highlights are usually important in computer vision as they provide a strong visual cue for the shape of an object and its location with respect to light source in the scene, they represent a critical problem for the resolution of the SFS algorithm because of their deviation from the assumed Lambertian reflectance.

Therefore, it is important to remove specular highlights prior to SFS reconstruction. Specular highlights are detected in the original frame through thresholding areas of high intensity and low saturation. The areas delimiting the detected regions were then dilated in order to fill any local holes in the detection and used as selection masks for an image inpainting process aimed at populating the specular areas with intensity values from neighboring pixels. A Gaussian filter was then applied to the frame for an overall smooth result without edge artifacts between the inpainted areas and their surroundings. Finally, the frame was reconstructed by combining pixels from the original (Fig. 3a) and the inpainted and filtered frames, respectively for the area outside and inside the inpainting mask. Median filtering was then applied on the resulting frame to remove salt-and-pepper noise (Fig. 3b).



Fig. 3: Specular highlight removal. (a) Original frame with selected specular highlight areas. (b) Final frame after specular highlight removal.

#### C. Shape-from-Shading 3D Reconstruction

To reconstruct the 3D structure of the visualized endoscopic environment, a SFS technique is used. In its general formulation for Lambertian surfaces, SFS can be seen as an inverse problem attempting to reconstruct the scene shape from a single monocular frame by solving the irradiance equation:

$$I = \rho \frac{1}{r^2} \frac{\mathbf{n} \cdot \mathbf{l}}{\|\mathbf{n}\| \|\mathbf{l}\|} \tag{1}$$

In equation 1, the SFS problem is expressed as recovering information about the surface normal **n** based on the knowledge of the light source position **l**, the surface albedo  $\rho$  to be recovered, and the perceived image intensity *I* for all pixels. Despite it being an underconstrained problem, well-posedness has been shown under configurations where the light source is close to the target surface [26] and the distance attenuation term  $\frac{1}{r^2}$  between the light source and the target surface is not ignored.

In our configuration, we adopt the system proposed by Visentini-Scarzanella *et al.* [26], where a single light source

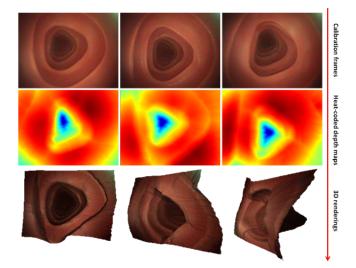


Fig. 4: Selected calibration frames (top row), their heat-coded depth maps (middle row) and projected renderings (bottom row).

away from the optical center is considered instead of two like in the original formulation. The resulting SFS partial differential equations is characterized with the information from the camera and light calibration procedure and then solved through a Lax-Friedrichs sweeping scheme. The code for the SFS stage of the algorithm has been made publicly available on the author's page<sup>1</sup>. Contrarily to the formulation in [26] where the recovery of the albedo relies on robust separation of specular and diffuse components which might be difficult in practice, with our additional calibration procedure it is possible to recover this scale factor prior to the procedure and dynamically apply it for *in-vivo* monocular metric structure recovery.

In Fig. 4, selected endoscopic frames are shown together with the depth maps obtained from the SFS algorithm. The calculated depth was then projected using the calibrated camera information to obtain realistic 3D renderings that can be used for trajectory planning or augmented reality.

#### D. Feature matching and scale factor recovery

In this step, pairs of consecutive frames (separated by a distance of 5mm) were processed with a feature detection and matching algorithm in order to measure the SFS-obtained depths of matching pixels in the two frames. On each pair, a Shi and Tomasi detection phase was followed by a Pyramidal Lucas-Kanade feature matching phase [30] were executed. Since only a relatively small number of feature matches are required for the albedo calculation, the Lucas-Kanade tracker was chosen because of its speed and ease of implementation. A typical screenshot of the detection and matching algorithm is reported in Fig. 5.

Each frame pair was processed in the same manner and for each pair of matched pixels an inferred albedo was obtained, defined as the ratio between the difference in SFS-depth and

http://www.commsp.ee.ic.ac.uk/~marcovs/shading/



Fig. 5: Feature matching stage with the Lucas-Kanade tracker. Reconstructed depth of matched features between consecutive frames will be used to determine the SFS scaling factor

the known distance of 5mm. Finally, the overall mean and variance of the albedo for all the image pairs was calculated as presented in section IV. This matching step is only carried out during the calibration procedure, since it is used to calculate the albedo that can then be used directly for metric scaling.

#### E. Mesh skeletonisation

For WCE capsule navigation, the optimal navigation path can be directly computed as the centerline of the 3D model, reconstructed with the SFS algorithm and scaled back to metric dimensions with the calculated albedo. This path is optimal in the sense that it allows the capsule to be always in the center of the lumen avoiding occlusions and providing the most complete view of the GI tract. The mesh of the 3D model is first voxelised to obtain a full volume through the methodologies presented in [31], [32]. This procedure allows the transformation of the polygonal representation into a volumetric description by a parity count approach. Parallel rays are cast through the model and the voxels are considered as internal to the mesh if the ray intersects an odd number of times the mesh polygons. Then, the centerline (or skeleton) of the volume is obtained with the thinning algorithm presented in [33]. In particular, the volume is reduced to lines with a single voxel thickness by an iterative erosion procedure.

# IV. VALIDATION AND RESULTS

An experimental validation was performed in a plastic colon simulator to verify the calibration procedure allowing to recover the surface albedo for the SFS algorithm. A sequence of 20 images was taken at 5mm intervals while moving the instrument forward up to 100mm from insertion. The camera orientation was maintained stable throughout the procedure. Each consecutive image pair was processed and the albedo was calculated as the ratio between the difference in depth for each matched pixel and the known 5mm step. On average, 233 features were matched per frame pair. While such an exiguous number of available features would be too low for other reconstruction algorithms as it accounts for 0.05% of the image pixels, it is sufficient to populate probability distributions to infer the unknown albedo. In particular, a distributions analysis per frame-pair was followed by a leave-one-out cross validation, as reported in the next subparagraphs.

## A. Distributions analysis per frame-pair

Data relative to the extracted scale factors for all matched pixels for the each image pair was fitted to a Weibull continuous probability distribution as it exhibited strong non-Gaussian properties that best matched the Weibull characteristics [34]. Means and variance parameters were extracted from the fitted probability density functions for each image pair.

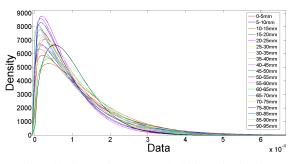


Fig. 6: Weibull continuous probability distribution of the scale factors for each consecutive frame pair.

As shown in Fig. 6, the 19 distributions all have a similar trend and Weibull parameters. This indicates the consistency and repeatability of the SFS algorithm in environments with uniform surface characteristics. Finally, the overall mean and variance of the scale factor for all the image pairs was calculated by fitting the data relative to each distribution's mean to a Gaussian distribution, visualized in Fig. 7. Numerically, the distribution of the reconstruction's scale factor is centered at  $1.06 \cdot 10^{-4}$ , with a variance of  $1.91 \cdot 10^{-10}$ .

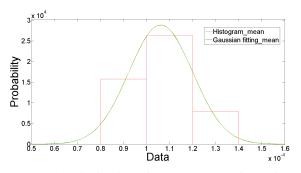


Fig. 7: Overall distribution of the mean scale factor for each consecutive image pair.

#### B. Leave-one-out cross-validation

In order to validate the metrically scaled reconstructions from the SFS algorithm using the calculated albedo, a leave-one-out cross validation test was performed on the extracted frames. For each iteration, the albedo was therefore calculated as the mean scale for all image pairs except for the one used for the validation. Then, for each image pair considered in the crossvalidation, the raw difference in depth from the SFS algorithm between matching features was divided by the albedo obtained by the remaining image pairs. The distribution of the recovered depths after scaling for each pair in the crossvalidation is shown in Fig. 8.

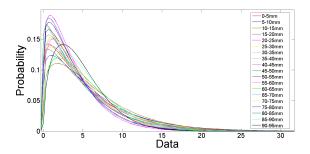


Fig. 8: Leave-one-out cross-validation: Weibull distribution of the recovered step size for all matched features in each consecutive frame pairs.

Finally, validation was achieved through plotting the mean recovered step size for each iteration of the leave-one-out process. The means neatly fit a Gaussian distribution centered at 5.0047mm with a variance of 0.4733 against a ground-truth step size of 5.00mm. The distribution of the recovered mean step sizes is shown in Fig. 9. Table I numerically presents the mean recovered step size for each iteration of the process.

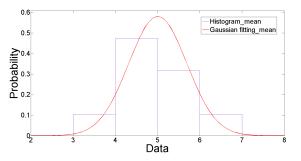


Fig. 9: Leave-one-out cross-validation - Gaussian distribution of recovered mean step size for each frame pair.

The recovered scale factors were used to scale up the reconstructed meshes and planned trajectories to meaningful metric distances. A visualization of the planned capsule trajectory is shown in Fig. 10.

The rendering shows a cone-like shape of the reconstructed volume, which is unrealistic given the cylindrical shape of the colon. This result is due to the limited camera resolution combined with the high perspective effect of the input images, yielding a very limited number of distant voxels connected to the rest of the volume.

In a practical system this effect would be inconsequential to the guidance since trajectory can be recalculated at every acquired frame, hence well before the capsule would reach

	0-5 mm	5-10 mm	10-15 mm	15-20 mm
MEAN	6.00868	5.49713	6.27875	5.9544
VARIANCE	27.9874	25.2638	26.1658	30.2054
	20-25 mm	25-30 mm	30-35 mm	35-40 mm
MEAN	3.7724	5.39844	4.7507	4.99337
VARIANCE	10.1702	22.3837	16.2631	23.1794
	40-45 mm	45-50 mm	50-55mm	55-60 mm
MEAN	5.282	4.82001	4.57023	4.54171
VARIANCE	21.3927	21.0144	16.695	13.2707
	60-65 mm	65-70 mm	70-75 mm	75-80 mm
MEAN	5.68684	4.89343	4.62578	4.13766
VARIANCE	19.7683	19.5627	15.2519	13.0724
	80-85 mm	85-90mm	90-95 mm	OVERALL
MEAN	3.9924	5.15181	4.73379	5.00471211
VARIANCE	12.1925	13.5302	10.4494	0.473377

TABLE I: Mean and variance of recovered step size for each iteration of the validation process

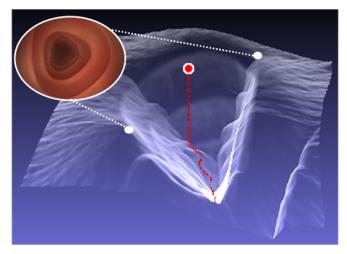


Fig. 10: Planned capsule trajectory (red) going through a reconstructed section of the synthetic colon model, rendered semi-transparently for clarity. The capsule location is indicated by the red and white dot.

the far end of the visualized volume where the visibility and morphological accuracy are limited.

#### V. CONCLUSIONS AND FUTURE WORK

In this paper we propose a system to reliably calibrate a SFS system in order to enable intraoperative metrically accurate 3D reconstruction during a robotic-aided active locomotion WCE procedure. The reconstructed surfaces can be exploited for augmented reality, accurate trajectory planning and ultimately to close the control loop and enable automatic WCE active capsule navigation. A mean recovered distance of 5.0047mm with a variance of 0.4733 against a groundtruth step size of 5.00mm obtained with the leave-one-out cross-validation demonstrated the efficiency and reliability of the proposed system as a first and fundamental step towards automatic WCE navigation.

To the best of our knowledge, this is the first practical application of SFS for guidance purposes without any neces-

sary knowledge of pre-operative data. In a real scenario the endoscopic device will be accurately steered in according to the calculated trajectory by means of a robotic-assisted magnetic propulsion [5], [6], closing the capsule control motion loop throughout a highly precise localization strategy, such as the one proposed by the author in [28].

Notwithstanding, together with this novelty, our contributions lie in the development of a completely platform independent system that can be applied to any capsule. For active locomotion devices, our described trajectory planning procedure can be adopted as a first step towards automatic steering. For passive devices, the reconstructed environments can be used to enhance visualization, reconstruct the environment and aid diagnosis.

Future work will be dedicated to the integration of the implemented reconstruction algorithm into the robotic arm control system, previously developed by the authors [5]. The final aim is to develop a complete automated active locomotion WCE platform. In order to reliably match the magnetically dragged capsule motion on the defined ideal trajectory, sensors will be integrated on-board to provide a closed-loop feedback, thus enabling an accurate step size motion control and a greater calibration accuracy.

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